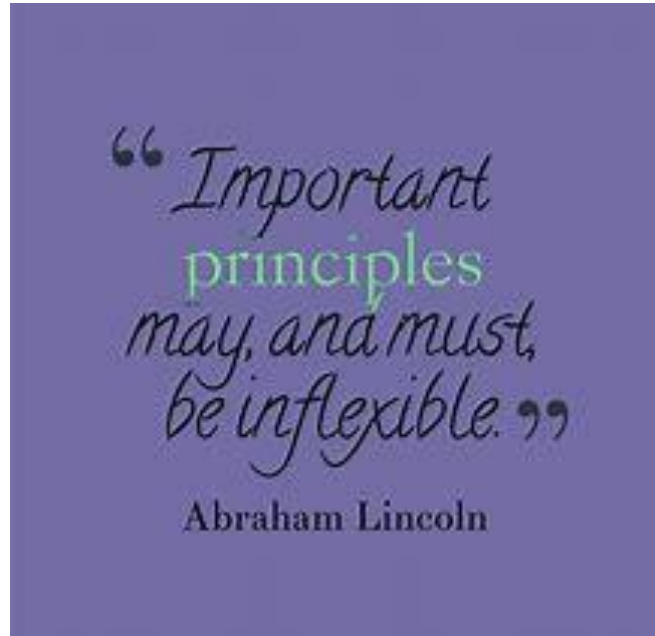


# RISK ASSESSMENT AND RISK MANAGEMENT-FOR SAFEGUARDING ADULTS BOARD LUNCH AND LEARN



*Delivered by: Alison Hillman & Dipal  
Patel*

# Principles of Good Risk Assessment



- Mental Capacity Act and Best interests
- Consent
- Involve the adult and/or representative
- Person centred
- Strength-based
- Information sharing and GDPR
- Multi-agency assessment and planning
- Proportionality
- Accountability
- Evidence
- Explain your rationale
- Good clear recording

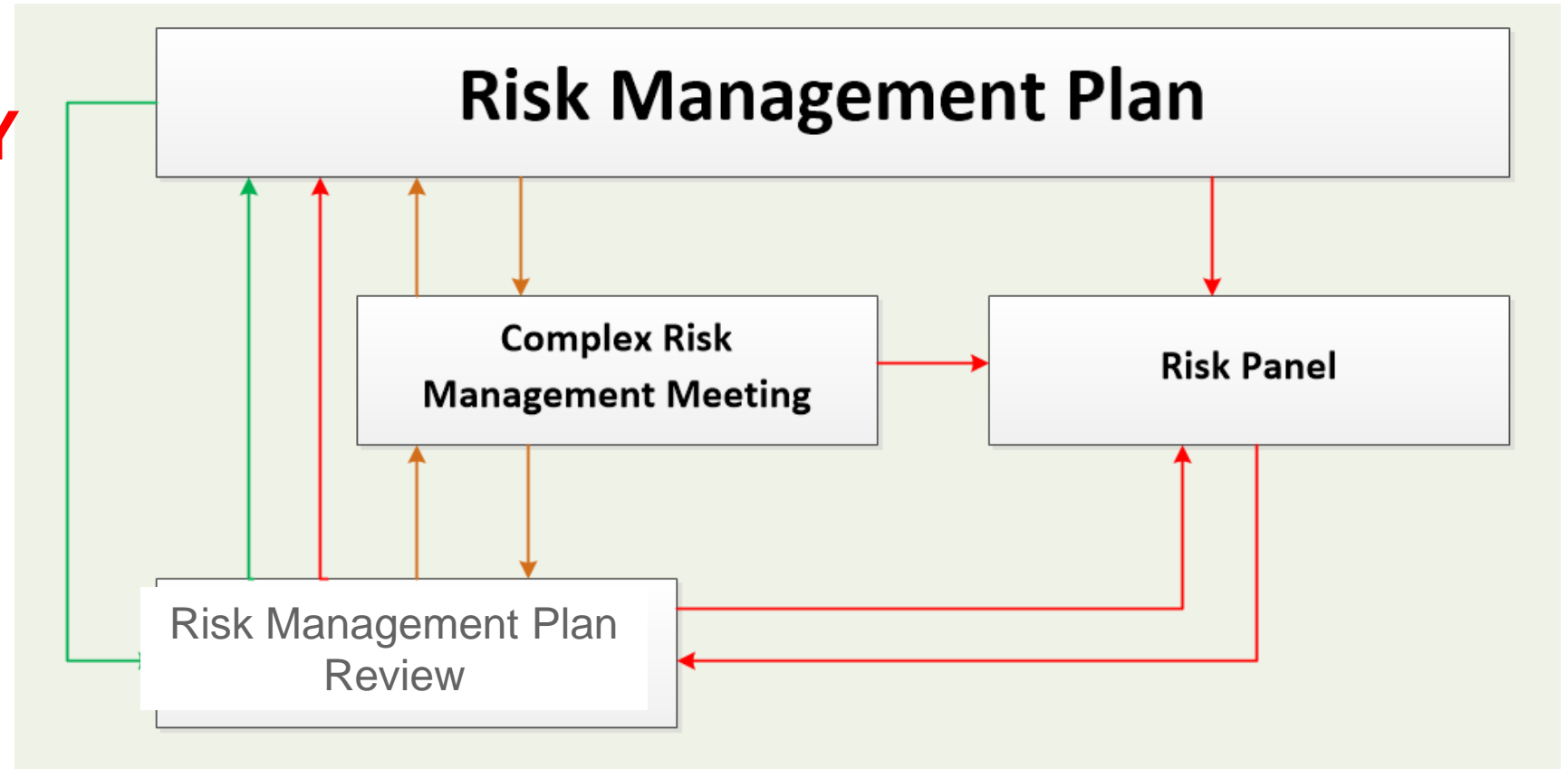
# Multi Professional Meeting (MDT)



- **Share all relevant information**
- **Discuss options for safety**
- **Accountability**
- **Turn these into a co-ordinated action plan**
- **Actions with names, contact info, dates for completion**
- **Follow up- is the plan working?**

# Procedure and Process of Risk Management (cont)

**MULTI- AGENCY:  
ACCOUNTABILITY  
INFORMATION  
ASSESSMENT  
EXPERTISE  
PLANNING  
RESOURCES  
MONITORING  
REVIEW/  
FOLLOW UP**



# Complex Risk Management Meeting & panel



**Purpose-** to provide a multi-agency approach to unmitigated risk

Information about the Risk panel and the referral form are on the intranet:  
(link to follow)

**If you have followed the Risk Management process and held a Risk Management Meeting...**

**Risk Panel Co-Ordinator-**  
[joyce.mbewe@barnet.gov.uk](mailto:joyce.mbewe@barnet.gov.uk)

**If there is still unmanaged high level risk (s) the case can be referred to Risk panel**

# RISK POLICY

Adults and Health

Risk Assessment & Risk Management Policy

*London Borough of Barnet*

17/12/20

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# The 8 Step Risk Assessment and Risk Management Form

## **Complex** **Risk** **management** **Process**

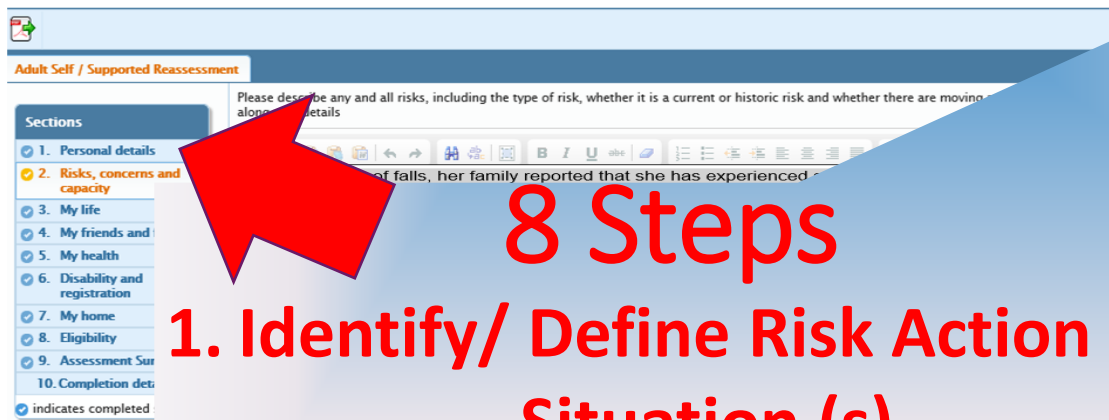
1. Identify/ Define Risk Action or Situation (s)
2. Benefits/ Desired Outcomes
3. Hazards
4. Dangers
5. Probability and Likelihood
6. Options
7. RISK MANAGEMENT
8. Review/ Follow up







# Model With Headings or Use the Form?



## 8 Steps

1. Identify/ Define Risk Action or Situation (s)
2. Benefits/ Desired Outcomes
3. Hazards
4. Dangers
5. Probability and Likelihood
6. Options
7. RISK MANAGEMENT
8. Review/ Follow up

**8 Step Risk Assessment and Risk Management Form**

**1. Adult's involvement in Decision-making:**  
Advise to participate and requirements of Mental Capacity Act where appropriate. Explain how the adult(s) has been supported to make decisions or be involved in decision-making about risk.

**2. Who is contributing information to this assessment of risk (s)?**  
Record here and in any of the 8 sections below: All relevant information from all involved professionals and people. Details of other agencies (professionals) include information from their assessments, and their recommendations. Views of people who support the adult in making decisions desirable. Record any differences of opinion.

**3. Consent and information sharing:**  
Record what information can be shared, who with, and any limitations to this.

**4. Who else does the risk affect?**  
Include their view if appropriate.

**5. Define Risk:**  
Clearly record risk actions or the risk situation involving the adult?

**6. Assessed Level of Risk:**  
Benefits: What outcomes does the adult want to achieve by taking the risk (giving the risk situation)?

**7. Hazards:**  
Identify and record the hazards which could prevent the adult achieving their desired outcomes or that could cause a dangerous outcome?

**8. Dangers:**  
What are the likely dangers/unk desirable outcomes if risk is not managed?

**9. Probability and Likelihood:**  
How likely is the danger to happen if action is not taken?

**10. Options:**  
What options have been considered to reduce or eliminate risk?

**2. Risk Management Plan**  
What actions have been taken and will be taken to eliminate or minimise risk?

Risk/Danger	Action (s)	Action by	Deadline to complete	Date of review- who will review
1.				
2.				
3.				
4.				
5.				
6.				

**6. Review**

Date of review	Risk/Danger	Risk management Plan	Review Outcome	Review action	Who to review	Have review date if applicable
1.						
2.						
3.						
4.						
5.						
6.						



## DEFINE ALL RISKS

Clearly define the risk-taking action (s) or situation (s)





# Hazard or Danger?

Remember....

The **hazard** may cause or contribute to the **danger**/unwanted outcome

The **danger** is an unwanted or undesirable outcome





options

# OPTIONS

List options that can / have  
been taken to eliminate /  
reduce risk

Or

Actions we don't take because  
we calculate that the benefits  
outweigh the disadvantages



# RISK MANAGEMENT

Actions taken or to be taken  
to reduce or eliminate the  
risk.

Include how, when and by  
whom the risk management  
plan will be monitored and  
reviewed





# Mini Audit Tool

- Have I explained what the person gains from taking the risk or remaining in the risk situation?
- Have I identified ALL hazards that could cause, contribute to or exacerbate the danger/ risk?
- Have I listed/ identified ALL the dangers and considered the full impact of each of the hazards and danger (s)?
- Have identified ALL options that have been considered to remove, minimise or manage risk?
- Have I recorded decisions made about these options- whether accepted, not accepted etc and why?
- Have the options that were/ are accepted been recorded in the Risk Management Plan to address the dangers
- Does the plan clearly show dangers that are unmanaged and plans to monitor and review these?
- Is there a date to review the Risk Management Plan?



Some definition here- but not  
enough detail or analysis



“Mr P lives with his  
daughter. He is at risk  
of neglect, and  
malnutrition.”

Clearly define  
the risk-taking  
action or  
situation:

What does the  
adult want to do  
that involves risk?



# Recording Tip:

**EG: Hazard =  
Neglect to personal  
hygiene**



*Mr P wears the same clothes  
all the time, day and night*



*Mr P has not  
washed, showered  
or bathed for 8  
weeks*



*Mr P is unable to prepare meals for  
himself. If he goes into the kitchen there  
is a danger that he will not use the gas/  
electric appropriately leading to a fire. He  
could give himself food poisoning &  
would not be able to follow the  
instruction on preparing foods.*



# Recording Tip:

**EG: Hazard =  
Episodes of  
confusion**



*Mr P forgets to put some of his clothes on at times (3 times in the past fortnight) and may wander in the street without trousers, a shirt or socks and shoes on*



Mr P has been diagnosed as having Alzheimers since 2019 and he forgets where he is and what his usual routine is



Mr P has attempted to go out to the shops at night thinking it is morning



# Which section/step should this go in?



## 8 Steps

1. Identify/ Define Risk Action or Situation (s)
2. Benefits/ Desired Outcomes
3. Hazards
4. Dangers
5. Probability and Likelihood
6. Options
7. RISK MANAGEMENT
8. Review/ Follow up

- We discussed With Dr F that there was not much more we could do as we have offered her various support which has been declined.

Service and support offered:

- Care package that was being provided by (XXX) Home care following hospital discharge in July 2020 has been cancelled .
- A different care agency was offered to provide the care package- Declined
- Counselling service - Declined
- Befriending service - Declined
- Home visits - Declined .
- Sheltered Housing/ Alternative accommodation Discussed as an option - Declined
- Requested to lease with daughter Esther - Declined/refused consent.”
- 01/06/2020 Mental Health Assessment completed by D stated that there was no evidence of psychotic symptoms
- Refusing anti-depressant medication and any other input/support from mental health services – she says she doesn't feel she needs it.”

# REVIEW

The situation, the risks and actions should be monitored, regularly reviewed and the risk management plan amended as required



# Case Study- LEDER REVIEW

Adult B- age 35: Special Educational Needs support due to learning disabilities. Had not received any input after leaving educational provision. Lived with her elderly parents.

Soon after we gained access her father was admitted to hospital and died. At the same time, her mother (who was acutely unwell) was detained under the Mental Health Act. B was urgently accommodated by the Local Authority.

Practitioners have reported that B suffered significant impairment in her development due to the poor state of her home and lack of statutory intervention.





# RISK ASSESSMENT



‘The risks may include a lack of self-care, refusing support, not taking required medication. As mentioned above, it is suspected that B’s health and social care needs are being neglected but considering that we have been unable to assess B’s needs it is hard to evidence this.’

# RISK ASSESSMENT

‘unable to assess due to above’

‘Non access to learning disabled daughter (an ongoing issue).’

‘a full risk assessment is uploaded on mosaic.’





# Feedback and discussion

